

**FINANCE, AUDIT AND PERFORMANCE SELECT COMMITTEE – 31 OCTOBER 2011**

**REPORT OF DEPUTY CHIEF EXECUTIVE (CORPORATE DIRECTION)**  
**RE: AUDIT RECOMMENDATION TRACKING**

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1. **PURPOSE OF REPORT**

To inform the Select Committee of the progress in implementing 2011/12 Audit Recommendations

2. **RECOMMENDATION**

That the Select Committee note the report

3. **BACKGROUND TO THE REPORT**

At its meeting in July the Select Committee asked to be informed of the progress in the implementation of recommendations made by Internal Audit. Attached is a schedule of recommendations considered by the Committee to date and the progress made in implementing them.

4. **FINANCIAL IMPLICATIONS (DB)**

None arising directly from this report.

5. **LEGAL IMPLICATIONS (AB)**

There are none

6. **CORPORATE PLAN IMPLICATIONS**

This report supports the following Corporate Aims

- Thriving Economy

7. **CONSULTATION**

None

8. **RISK IMPLICATIONS**

It is the Council's policy to proactively identify and manage significant risks which may prevent delivery of business objectives.

It is not possible to eliminate or manage all risks all of the time and risks will remain which have not been identified. However, it is the officer's opinion based

on the information available, that the significant risks associated with this decision / project have been identified, assessed and that controls are in place to manage them effectively.

The following significant risks associated with this report / decisions were identified from this assessment:

| <b>Management of significant (Net Red) Risks</b> |                           |              |
|--------------------------------------------------|---------------------------|--------------|
| <b>Risk Description</b>                          | <b>Mitigating actions</b> | <b>Owner</b> |
| None                                             |                           |              |

9. **KNOWING YOUR COMMUNITY – EQUALITY AND RURAL IMPLICATIONS**

None

10. **CORPORATE IMPLICATIONS**

By submitting this report, the report author has taken the following into account: [if you require assistance in assessing these implications, please contact the person noted in parenthesis beside the item]

- Community Safety implications
- Environmental implications
- ICT implications
- Asset Management implications
- Human Resources implications
- Planning Implications
- Voluntary Sector implications

Background papers: Investment and borrowing records

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Executive Member: